



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Richard N. Zare, Maria T. Dulay, Joselito P. Quirino, and Bryson D. Bennett  
Assignee: The Board of Trustees of the Leland Stanford Junior University  
Title: Bonded Phase Photopolymerized Sol-Gel Column and Associated Methods  
Serial No.: 09/978,515 Filing Date: October 15, 2001  
Examiner: Not Yet Assigned Group Art Unit: Not Yet Assigned  
Docket No.: M-11422-1P US

San Francisco, California  
December 13, 2001

BOX MISSING PARTS

COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

12/21/2001 GTEFFERA 00000097 192386 09978515

01 FC:101 740.00 CH  
02 FC:103 270.00 CH

**ITEMIZATION OF THE DEFICIENCY PAYMENT**

**PURSUANT TO 37 C.F.R. § 1.28(c)(2)(ii)**

Dear Sir:

Status as a small entity was established in error in the above-identified application.

Status as a small entity was established in good faith, and fees as a small entity were paid in good faith. A deficiency payment is submitted with this paper. Pursuant to 37 C.F.R. § 1.28(c)(2)(ii), an itemization of the total deficiency payment owed of \$505.00 is provided as follows:

Adjustment date: 12/21/2001 GTEFFERA  
~~10/19/2001 EFCORES 00000018 192386 09978515~~  
~~01 FC:201 370.00 CR~~  
~~02 FC:203 135.00 CR~~

Particular Type of Fee	Current Fee Amount for a Non-Small Entity	Small Entity Fee Actually Paid	When Small Entity Fee Was Actually Paid	Deficiency Owed Amount
Basic Filing Fee – Utility	\$740.00	\$370.00	October 15, 2001	\$370.00
Claims in excess of twenty	\$270.00	\$135.00	October 15, 2001	\$135.00

Please charge the amount of \$505.00 to Deposit Account 19-2386. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 19-2386.

If there are any questions regarding this request, please call Applicant's attorney at (415) 217-6000. This request is submitted in duplicate.

EXPRESS MAIL LABEL NO:

EV052132982US

Respectfully submitted,



Cindy I. Abravanel  
Attorney for Applicant(s)  
Reg. No. 48,403



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/978,515	10/15/2001	Richard N. Zare	M-11422-1P US

CONFIRMATION NO. 5049

## FORMALITIES LETTER



\*OC00000007019946\*

Skjerven Morrill MacPherson LLP  
Suite 700  
25 Metro Drive  
San Jose, CA 95110

Date Mailed: 11/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/21/2001 GTEFFERA 00000096 192386 09978515

01 FC:105 130.00 CH



12-20-01

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Zare, Richard N.; Dulay, Maria T.; Quirino, Joselito P.; Bennett, Bryson D.

Assignee: THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Title: Bonded Phase Photopolymerized Sol-Gel Column and Associated Methods

Serial No.: 09/978,515 Filing Date: October 15, 2001

Examiner: Not Yet Assigned Group Art Unit: 1641

Docket No.: M-11422-1P US

San Francisco, California  
December 13, 2001

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-  
PROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on November 5, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63.
2. Copy of Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

- |    |   |          |
|----|---|----------|
| 1. | Surcharge for filing declaration on a date later than the filing date of the application. | \$130.00 |
| 2. | TOTAL FEES:   | \$130.00 |

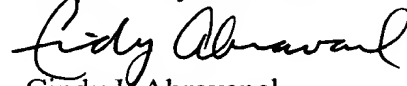
The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of October 15, 2001. Please telephone the undersigned at (415) 217-6000, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

EV052132982US

Respectfully submitted,



Cindy I. Abravanel

Attorney for Applicant(s)

Reg. No. 48,403